**POLICY ON THE RECRUITMENT OF PROPRIETORS, GOVERNORS, TRUSTEES AND VOLUNTEERS – GUIDANCE FOR SCHOOLS**

References

ISI Commentary on the Regulatory Requirements September 2017:

[insert link]

DfE Statutory Guidance ‘Keeping Children Safe in Education’, September 2018:

<https://assets.publishing.service.gov.uk/government/uploads/system/uploads/attachment_data/file/707761/Keeping_Children_Safe_in_Education_-_September_2018.pdf>

Regulated activity

The requirement for an enhanced DBS check, barred list check and prohibition from management depends on whether the volunteer is undertaking a regulated activity. In a school or college a **supervised** volunteer who regularly teaches or looks after children is not in regulated activity. The Department for Education (DfE) has published separate statutory guidance on supervision and regulated activity which schools and colleges should have regard to when considering which checks should be undertaken on volunteers. This is set out at Annex F of Keeping Children Safe in Education (issued September 2018).

DfE have provided guidance on when volunteers would be supervised:

* there must be supervision by a person who is in regulated activity (e.g. a teacher or classroom assistant);
* the supervision must be regular and day to day; and
* the supervision must be ‘reasonable in all the circumstances to ensure the protection of children’ (taking into account the age of the children, the number of children, whether or not other workers are helping to look after the children; the nature of the work, how vulnerable the children are, and the levels of supervision).

There is also a useful flowchart at Appendix 3 of the ISI Handbook.

Single Central Register

The Education (Independent Schools Standards) Regulations 2014 Part 4 requires all schools to maintain a centralised register of appointments, including those of Governors. Guidance on checking the Single Central Register is set out at Appendix 2 to the ISI Handbook (see references).

Prohibition on participation in management of independent schools

The Independent Educational Provision in England (Prohibition on Participation in Management) Regulations 2014 came into force on 1 September 2014 (also known as ‘section 128 checks’). These regulations set out the grounds on which a person’s suitability to take part in the management of an independent school can be restricted or prohibited. There is an obligation on independent schools to check whether those involved in the management of schools (including governors) and those who undertake roles of a leadership nature have been prohibited from management in independent schools. The section 128 power applies to all those who are taking part in the management of an independent school. This has been defined as Governors, Senior Management Team and all teaching heads of department.

Charity Trustee Automatic Disqualification Rules

Certain individuals are automatically disqualified from acting as trustees for a charity. A person is disqualified from acting as a charity trustee, if certain legal disqualification reasons apply to them. The [guidance for charities](https://www.gov.uk/guidance/automatic-disqualification-rule-changes-guidance-for-charities) produced by the Charity Commission also includes a disqualifying reasons table that can be downloaded [here](https://www.gov.uk/government/uploads/system/uploads/attachment_data/file/731118/010818_Disqualification_Reasons_Table_v2.pdf).

Trustees and potential trustees should be asked to confirm that they are not disqualified. The Charity Commission has produced sample declarations for trustees to state that they are not disqualified from acting in these positions. These can be downloaded from the [guidance for charities](https://www.gov.uk/guidance/automatic-disqualification-rule-changes-guidance-for-charities#what-your-charity-needs-to-do).

If it is discovered that a trustee or potential trustee is disqualified, they can apply to have their disqualification waived. The process for this is set out in the Charity Commission [guidance for individuals](https://www.gov.uk/guidance/automatic-disqualification-rules-for-charity-trustees-and-charity-senior-positions).

**POLICY ON THE RECRUITMENT OF PROPRIETORS, GOVERNORS, TRUSTEES**

**AND VOLUNTEERS**

**1. General**

(Name of School) (“the School”) is committed to ensuring the best possible environment for the children and young people in its care. Safeguarding and promoting the welfare of children and young people is our highest priority.

The School aims to recruit volunteers and governors that share and understand our commitment to the aims of the School.

All queries on the School’s recruitment process must be directed to [name].

**2. Recruitment of Governors and Trustees**

As Trustees of a registered charity, the Governors of the School are responsible for the selection and appointment of new Governors. This is a legal responsibility that cannot be delegated, although we encourage the Head Master/Mistress, Bursar, and other senior staff and organisations, such as a former pupil’s association, or those who are close to the School, such as parents, to suggest the names of potential candidates. As Charity Trustees we are aware of the importance of identifying the appropriate mixture of skills and experience that we and our successors need to manage the multi-faceted affairs of a modern school which is also a [Company Limited by Guarantee (CLBG),] a medium sized business and an important local employer, with some [number] employees. Schools should avoid appointing or retaining trustees who are disqualified from being a trustee, unless the Charity Commission has given a waiver. All potential trustees should complete a charity trustee positions: automatic disqualification declaration before beginning the selection process.

Following return of the completed disqualification declaration, all Governors complete a selection process, which requires the submission of a CV, completion of an interview with [the Nominations Committee]/ [two or three senior Governors] [and a meeting with the Head]. Every Governor has an enhanced DBS check unless they will be undertaking a regulated activity, in which case they will they will undertake an enhanced DBS check with a barred list check. Each appointment is [made/ratified] by the full Board for a period of [5] years. The School arranges for all new Governors to receive a through induction in child protection and in the compliance and fiduciary duties of governance. New Governors spend a day at the School in order to meet the key personalities and to gain an insight into the curriculum and to meet groups of pupils.

**2.1 Governor’s Selection process**

**2.1.1 The Initial Stage**

When a potential Governor has been identified, who has expressed an interest; he or she will be invited to visit the School and to meet the Head Master/Mistress informally, and to have a tour of the school with the Bursar. If the Chairman of Governors is not present at that stage, s/he will probably arrange a separate informal meeting, perhaps in the company of another, experienced Governor. At that meeting, we will briefly describe our strategic vision for the next [3-5] years and the direction in which the Governors see the school moving. Our aim at the informal meeting is to ensure that every prospective Governor has a clear understanding of the commitment expected of him or her, in terms of time and attendance and is given sufficient material about the School that is in the public domain (prospectus, latest ISI Inspection Report, Statutory Accounts and Annual Return for the previous year) to allow a well-informed judgement to be made before committing themselves to the appointment process. At the informal meeting, we also brief all potential candidates about the range of statutory checks that are required as part of the appointment process.

**2.1.2 The appointment process**

The second step is to invite the prospective Governor to submit a copy of his/her CV under a covering letter to the Chairman of Governors [and to the Nomination Committee]. Prospective Governors are interviewed by [the Nomination Committee]/ [two or three senior Governors], [which]/ [who] recommend[s] all appointments to the full Governing Body. Care is taken to select Governors who are prepared to serve for a minimum of three, [and preferably, [five] years] (which is the normal length of a term of appointment), and to be prepared to commit the time necessary to get to know the school.

All new appointments are formally recorded in the minutes of the Board, and a formal letter of appointment is sent by the Chairman, which specifies the term of the appointment, the total tenure for a Governor, and, if appropriate, the sub-Committee(s) to which the new Governor has been appointed.

**2.1.3 Safer recruitment checks**

The [Bursar (in his/her role as Clerk to Governors) OR Clerk to the Governors] at the School will obtain the following from the new potential governor before their appointment is confirmed:

1. an enhanced DBS certificate;
2. if the Governor will be undertaking a regulated activity, a barred list check;
3. evidence of their entitlement to work in the UK, where relevant;
4. confirmation that they are not disqualified from acting as a Charity Trustee or Company Director, for example by virtue of an undischarged bankruptcy;
5. evidence that the Governor has not been prohibited from participating in the management of independent schools;
6. [a declaration that they are not disqualified from providing childcare as set out in the statutory guidance “Disqualification under the Childcare Act 2006 (July 2018)” ;]
7. If the new Governor is also to be appointed as a Company Director, a completed Form AP01 (downloadable from [www.completeformations.co.uk](http://www.completeformations.co.uk)) will be sent to Companies House to register the appointment.

All the paperwork is handled by the Bursar/Clerk to the Governors.

**2.2 Checks regarding the Proprietor and Chair of Governors**

If the Proprietor or Chairman of Governors, is to change, the school will ensure that the DfE obtains an enhanced criminal records check, and checks both the individual’s identity and right to work in the UK before he or she takes up the appointment. Further overseas checks are required if the person lives or has lived outside the UK. The Chairman’s disclosure application has to be made by the DfE; the school cannot handle it as they would for all other Governors. So, even if a Governor, whom the school has already checked, becomes Chairman, the DfE has to make yet another check.

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| **2.3** **Induction of Governors and Trustees**  Governors would be provided with training on the following once in post:   * Child Protection Policy and safeguarding obligations * Staff Behaviour Policy * Health and Safety * Confidentiality obligations * Supervision * Data Protection   **2.4 Data Protection**  The School will comply with its obligations under the relevant data protection legislation. Governors’ attention should be drawn to the Staff Privacy Notice and Data Protection Policy which sets out details of how the School will process Governors’ personal data. |  |

**3. The recruitment of other volunteers**

**3.1 General**

Volunteers at our school bring with them a range of skills and experience that can enhance the learning opportunities of pupils. The Board of Governors therefore, welcomes and encourages volunteers from the local community to assist in its day to day running. The kinds of activities that volunteers may assist with are hearing pupils read, working with small groups of pupils to assist them in their learning, working alongside individual pupils, as an additional tutor, or accompanying school visits.

Volunteers will be recruited and vetted via the process set out below. Volunteers who take part in a regulated activity will be subject to safer recruitment checks.

**3.2 Recruitment**

Volunteers will be subject to an informal recruitment process which will involve a meeting with [NAME] to discuss the requirements of the School and the skills of the volunteer. The purpose of this meeting will be to understand whether the prospective volunteer has any previous relevant experience and find out whether the expectations and requirements of each party meet and whether there is a volunteering opportunity at the School.

Any volunteering placement may be offered subject to the following checks, if relevant:

1. an enhanced DBS certificate;
2. if the volunteer will be undertaking a regulated activity, a barred list check;
3. evidence of their entitlement to work in the UK, where relevant;
4. [confirmation that the volunteer has not been disqualified from participating in the management of independent schools;]
5. [a declaration that they are not disqualified from providing childcare as set out in the statutory guidance “Disqualification under the Childcare Act 2006 (July 2018)”];
6. depending on the nature of the role, the volunteer may also be asked to sign a confidentiality statement;
7. references may also be required;

**3.3 Induction**

Volunteers will be provided with training on the following matters once the volunteering placement commences:

* Child Protection Policy and safeguarding obligations
* Policy for induction of new staff, governors and volunteers in child protection
* Staff Behaviour Policy
* Health and Safety
* Confidentiality obligations
* Supervision
* Data Protection

**3.4 Data Protection**

The School will comply with its obligations under the relevant data protection legislation. Volunteers’ attention should be drawn to the Staff Privacy Notice and Data Protection Policy which sets out details of how the School will process volunteers’ personal data.